

Introduction to Funding Opportunities for NY Public Water Supply Projects

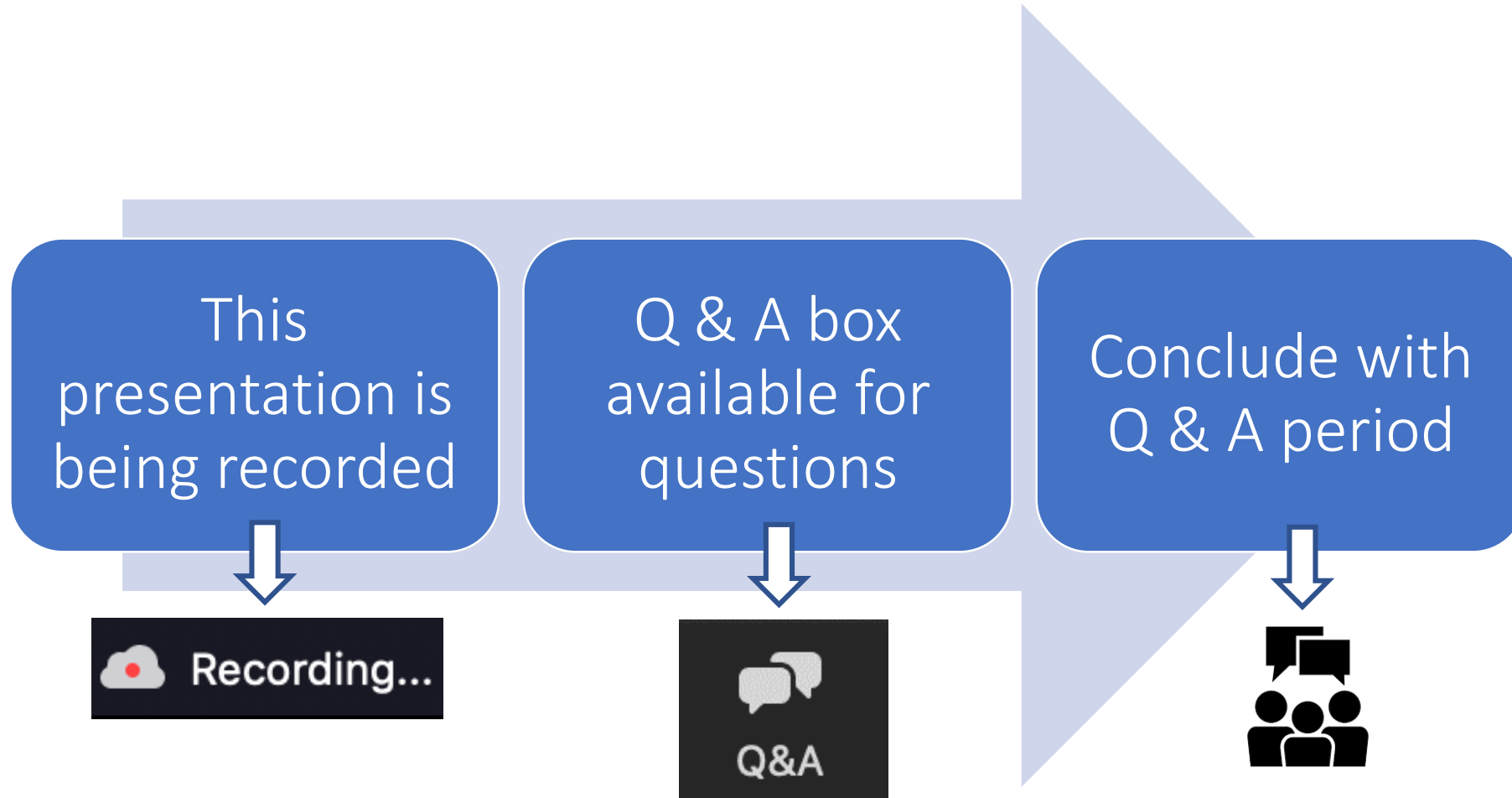


Susquehanna River Basin Commission

Public Water Supply Assistance Program

September 21, 2023

Participation and Interaction



Objectives

- Share the broader spectrum of available funding programs.
- Share strategies to identify and secure funding.
- Provide a direct line of communication.



Today's Presenting Organizations



EPA Region 2 - Water Division

Health



New York State Department of Health



New York State Environmental Facilities Corporation



USDA Rural Development Program



EPA Water Infrastructure Finance and Innovation Act Program



Syracuse University EFC



EPA Region 2: Drinking Water Programs in New York

Presenter: Winnie Zhao

Topics

- Drinking Water State Revolving Fund (DWSRF) and Set-Asides
- Bipartisan Infrastructure Law (BIL) SRF Programs
- Technical Assistance (TA)
- Emerging Contaminants – Small and Disadvantaged Communities (EC-SDC)
- Water Infrastructure Improvements for the Nation (WIIN) Act
- Public Water System Supervision (PWSS) Grant Program

DWSRF, Set-asides, & BIL

Drinking Water State Revolving Fund (DWSRF)

- Part of the SRF Capitalization funding, along with the Clean Water SRF

Drinking Water Set-asides

- Under Safe Drinking Water Act (SDWA), states may set aside up to ~31% of their DWSRF capitalization funds to fund state programs and third parties
 - These entities provide assistance & build capacity of drinking water systems
 - They ensure the delivery of safe drinking water

BIL (2022-2026)

- General Supplemental (GS), Emerging Contaminants (EC), & Lead Service Line Replacement (LSLR)

Roles in SRF and BIL-SRF Programs

EPA:

- Awards the funds appropriated annually by Congress (i.e., awards capitalization grants) to each state's CWSRF and DWSRF Programs
- Provides grant terms and conditions, guidance, and program oversight

States (NYS DOH/EFC):

- Rank, prioritize, and determine projects eligible to receive funding

Communities:

- Provide feedback via the public comment process

Water Technical Assistance (TA)

EPA's Technical Assistance under BIL

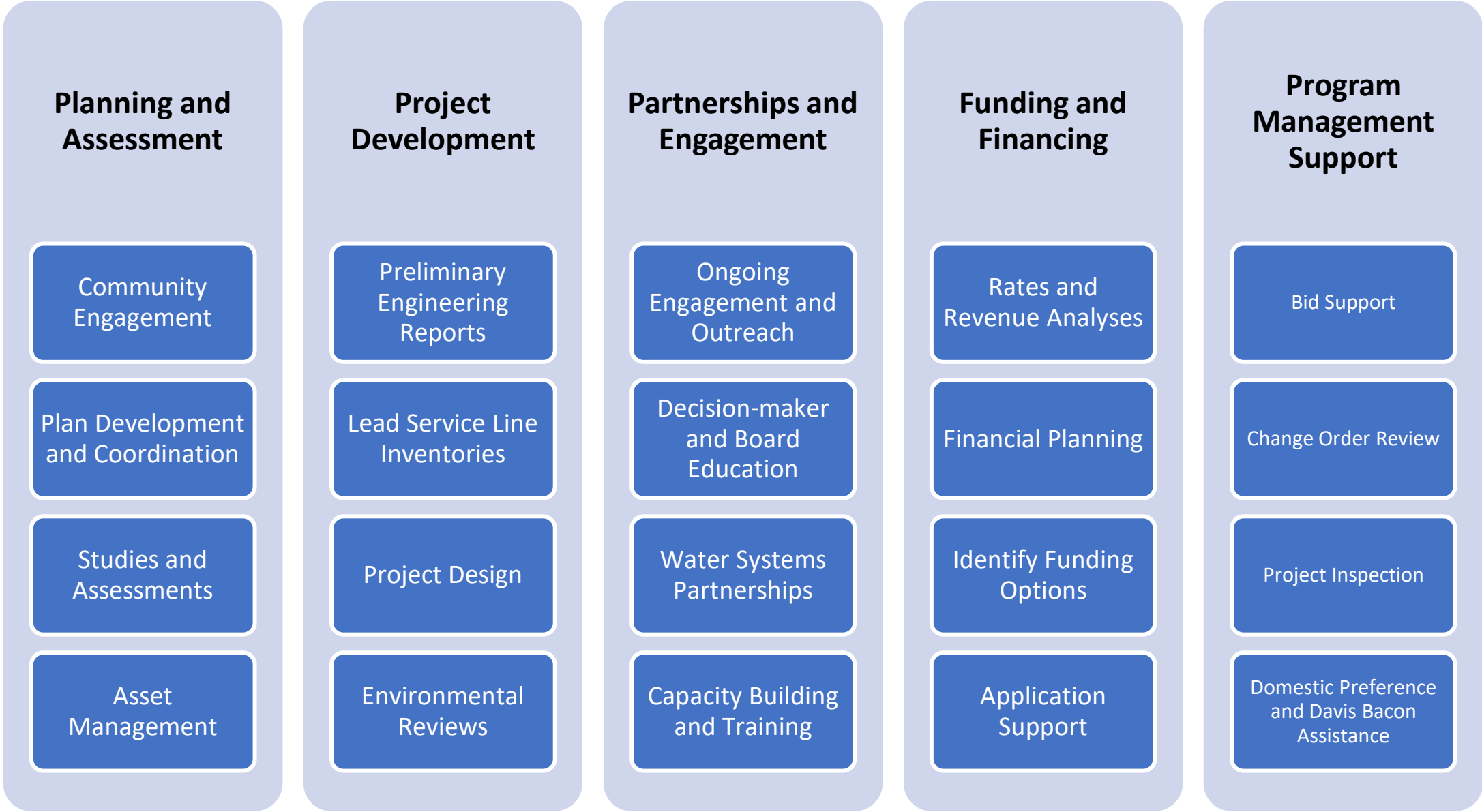
- Goal: Assist communities access BIL & SRF funding opportunities
- 29 Environmental Finance Centers (EFCs) Nationwide
 - Region 2: EFC-Syracuse University
- TA available for drinking water and wastewater needs

EPA Water TA website

- Intake form for communities to request TA directly from EPA
- Factsheet on TA also includes other TA opportunities
- For more info, please visit:

<https://www.epa.gov/water-infrastructure/water-technical-assistance>

Direct Water Technical Assistance Services that EPA can provide:



Other Water Infrastructure Opportunities

- BIL EC-SDC – Emerging Contaminants - Small and Disadvantaged Communities
 - Grants for public water systems in small/disadvantaged communities to address emerging contaminants
- Water Infrastructure Improvements for the Nation (WIIN) Act
 - WIIN 2104 - Small, Underserved, and Disadvantaged Communities (SUDC)
 - WIIN 2105 - Reducing Lead in Drinking Water
 - WIIN 2107 - Voluntary School and Child Care Lead Testing and Reduction
- WIIN and EC-SDC funds are given to states to be awarded for projects

Public Water System Supervision (PWSS) Grant Program

- Since 1976, EPA has annually received Congressional appropriation under section 1443(a) of the Safe Drinking Water Act (SDWA) to assist states, territories, and tribes/nations carry out their PWSS programs
- The grant helps eligible entities develop a PWSS program to:
 - Enforce requirements of SDWA
 - Ensure water systems comply with the National Primary Drinking Water Regulations

Key activities under PWSS program

- Developing and maintaining...
 - state drinking water regulations;
 - an inventory of public water systems throughout the state;
 - a database to hold compliance information on public water systems (PWSs);
- Conducting sanitary surveys of public water systems;
- Reviewing PWSs plans & specifications;
- Providing technical assistance to managers and operators of PWSs;
- Carrying out a program to ensure the PWSs regularly inform their consumers about the quality of the water they are providing;
- Certifying laboratories that can perform the analysis of drinking water that will be used to determine compliance and the regulations; and
- Carrying out an enforcement program to ensure that the PWSs comply with all of the state's requirements

Additional Information

EPA Links:

- DWSRF: <https://www.epa.gov/dwsrf> & [Set-Asides](#)
- BIL: <https://www.epa.gov/infrastructure>
- WIFIA: <https://www.epa.gov/wifia>
- EC-SDC: [Emerging Contaminants Small or Disadvantaged Communities Grant](#)
- WIIN: [The Water Infrastructure Improvements for the Nation Act \(WIIN Act\)](#)
- PWSS: [Public Water System Supervision Grant](#)
- Water TA: [Water Technical Assistance | US EPA](#)

EPA contact:

Winnie Zhao, Zhao.Winnie@epa.gov

Thank you, Winnie!

Winnie Zhao

Water TA and SRF Liaison, Water Division – EPA Region 2

Please add any questions in the Q&A box

Up Next:

Monika King

Bureau of Water Supply Protection - NYS Dept. of Health

Rebecca Walker

Senior Finance Manager - NYS Environmental Facilities Corporation





Environmental
Facilities Corporation

Department
of Health

Funding Opportunities for Municipal Water Supplies

NYS EFC: Rebecca Walker

NYS DOH: Monika King, P.E.

Overview



- ✓ Funding Programs Introduction
- ✓ State Revolving Funds (SRF)
- ✓ Federal Bipartisan Infrastructure Law (BIL)
- ✓ Water Infrastructure Improvement Grants (WIIA)
- ✓ Intermunicipal Grants (IMG)
- ✓ Funding Program Requirements
- ✓ Applying for Funding



Funding Programs Introduction

About New York State Environmental Facilities Corporation

We Fund New York State Water Infrastructure

Drinking Water State Revolving Fund

Low-cost capital
for drinking water
projects.

Clean Water State Revolving Fund

Low-cost capital for
sewer and
wastewater
treatment projects.

State Water Grants

Ease the cost
burden of capital
infrastructure
costs.

Federal Bipartisan Infrastructure Law (BIL) Funding

Administered
through the State
Revolving Funds.

Nation-Leading Investments

\$8.99B

Provided to
Drinking Water
Projects Since
1996

\$35.8B

Provided to
Wastewater
Projects Since
1990

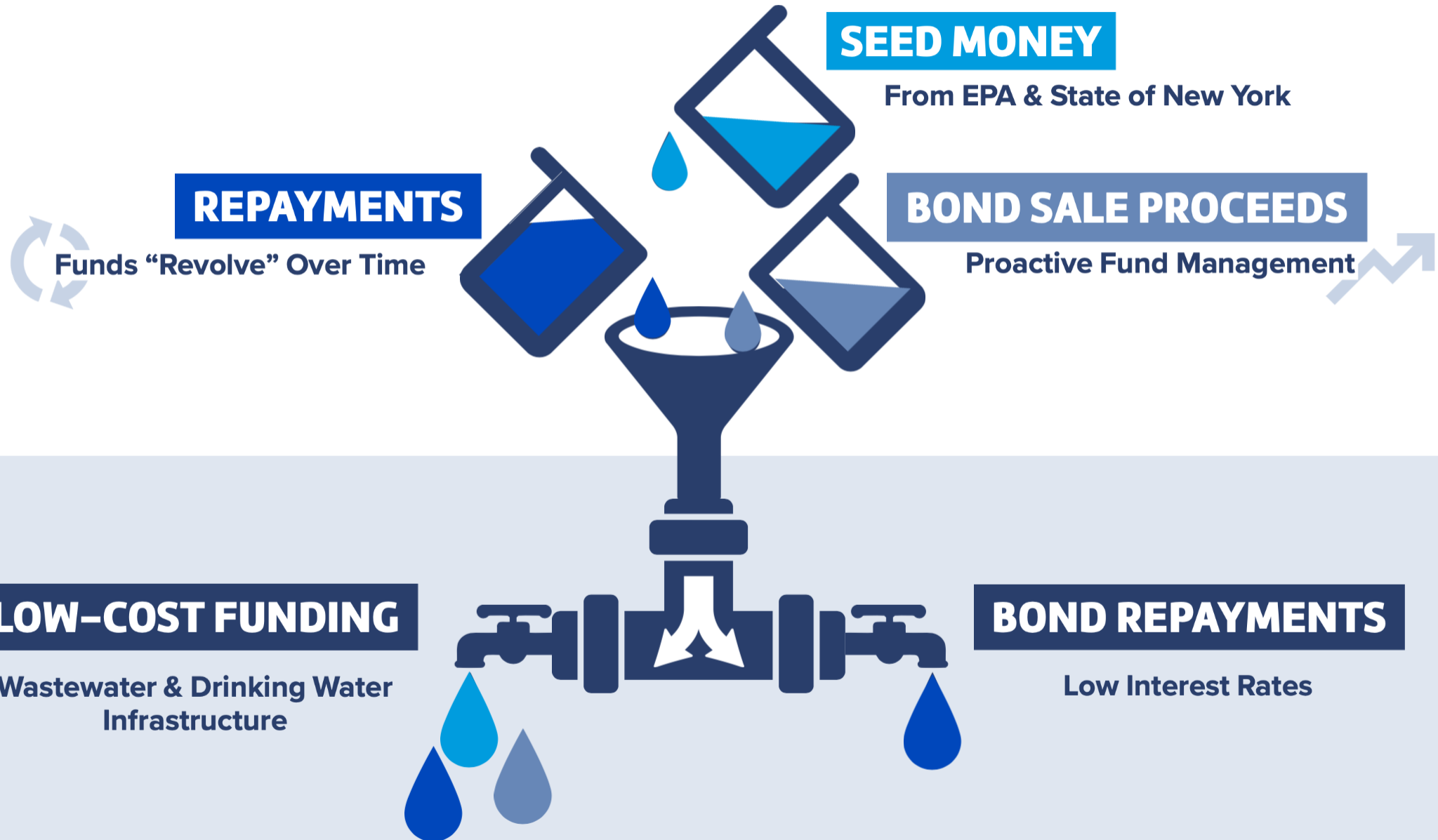
\$2B

EFC Water
Grants Awarded
to 879 Projects
Since 2015



State Revolving Funds (SRF)

NEW YORK STATE REVOLVING FUND



Eligible Projects

Drinking Water State Revolving Fund

Administered by NYS EFC in partnership with NYS DOH.

- Provide Public with Safe Drinking Water
- Upgrade or Replace Drinking Water Infrastructure
- Address Non-Compliance with Federal or State Health Standards
- Prevent Future Violations of Federal or State Health Standards



Federal Bipartisan Infrastructure Law (BIL)

BIL & DWSRF

BIL funding for water infrastructure is being administered through the State Revolving Funds over five years.

Required additional subsidy percentages are based on the full Cap Grant amounts and are not necessarily the percentage available per project.

2023 FFY Funding By Category

\$98M

BIL General
Supplemental

Required Additional
Subsidy: 49%

\$36M

BIL Emerging
Contaminants

Required Additional
Subsidy: 100%

\$114M

BIL Lead
Service Line

Repl.

Required Additional
Subsidy: 49%

Eligible Projects – DWSRF BIL

BIL General Supplemental (GS)

Municipally owned and privately-owned community water systems and non-profit non-community water projects including: construction, rehabilitation, and upgrading of water treatment plants; transmission and distribution mains; storage facilities; pump stations; rehabilitation or development of new drinking water sources; consolidation of water supply services; and capital investments to improve security of drinking water systems.

BIL Emerging Contaminants (EC)

DWSRF eligible projects with the primary purpose to address emerging contaminants in drinking water, with a focus on PFAS.

BIL Lead Service Line Replacement (LSLR)

DWSRF eligible projects that replace or are associated with an LSLR project or associated activity directly connected to the identification, planning, design, and replacement of lead service lines. Any project funded under this category involving the replacement of lead service lines must replace the entire lead service line (public and privately owned portion), not just a portion.

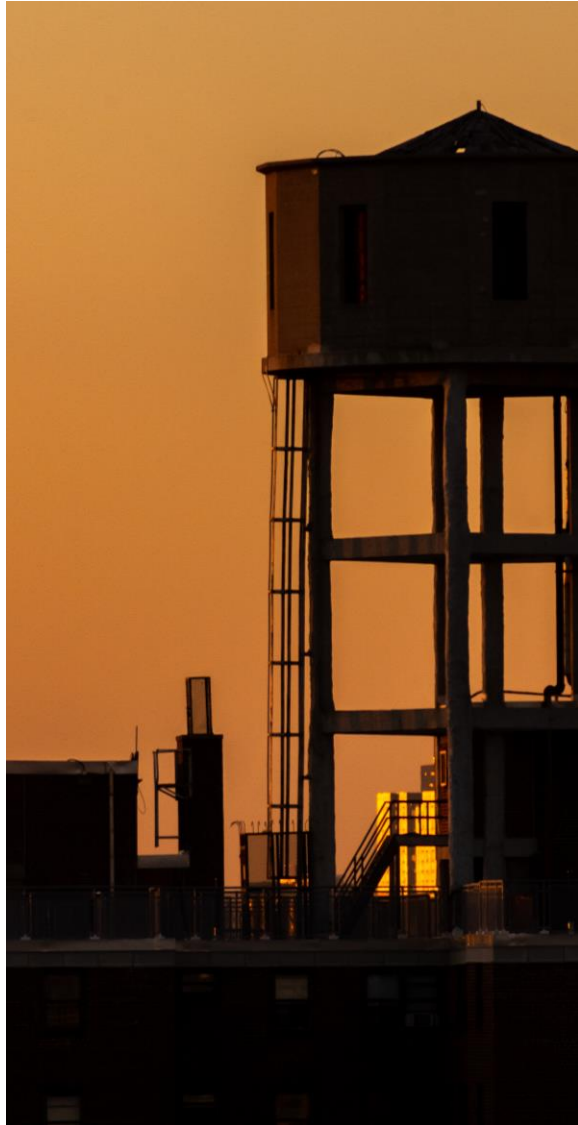


Water Infrastructure Improvement Grants (WIIA)



WIIA Drinking Water Project Examples

- Construction, upgrade or replacement of drinking water infrastructure
- Address current noncompliance with federal or state drinking water health standards and protect against future violations
- Construction of new drinking water infrastructure to replace private wells
- Combat emerging contaminants such as PFOA, PFOS, & 1,4-Dioxane



Eligible Entities

WIIA/IMG is available to a municipality, which is defined to include:

- ✓ County
- ✓ City
- ✓ Town
- ✓ Village
- ✓ District corporation, County, or Town Improvement district
- ✓ School District
- ✓ Indian Nation or Tribe with a reservation wholly or partly within NYS
- ✓ Public Benefit Corporation
- ✓ Public Authority

WIIA Project Evaluation Criteria

EFC and DOH will consider factors such as:

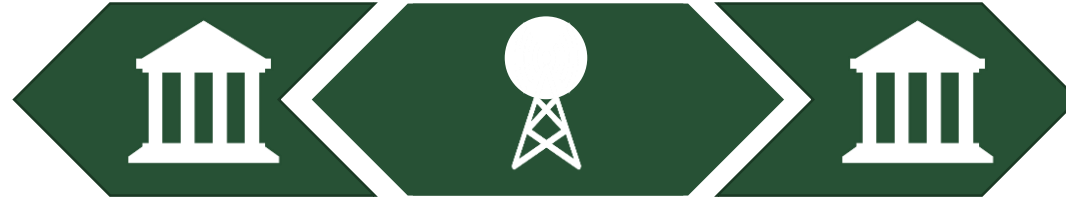
- Water quality improvement or reduction in risk to public health
- Financial needs of the community
- Readiness to advance to construction
- Level of demonstrated community support
- Impact to Disadvantaged Communities (DAC) and Potential Environmental Justice (EJ) Area





Intermunicipal Grants (IMG)

Intermunicipal Grants (IMG)



More than one municipality undertaking a capital improvement project jointly, such as:

- a shared water quality infrastructure project
- consolidation of intermunicipal facilities (e.g., regional facilities)
- the interconnection of multiple municipal water systems

Available for both drinking water and clean water projects

IMG Grant Award Overview

Available for drinking water and clean water projects

- Municipalities will be awarded 40% of total net project costs, up to \$30 million
- One municipality must be the “lead municipality” and apply on behalf of all cooperating municipalities
- Municipalities may apply for this grant on more than one project
- Each municipality is limited to receiving no more than a \$10 million allocation per year

IMG Grant



Total Eligible Project Costs – Other Awarded Grant Funding = **Net Eligible Project Costs**

Example:

\$	10,000,000	Total Eligible Project Costs
- \$	400,000	Other Awarded Grant Funding
<hr/>		
\$	9,600,000	Net Eligible Project Costs



*To find the **Max Grant Amount:***

\$	9,600,000	Net Eligible Project Costs
X	.40	Percent
<hr/>		
\$	3,840,000	Max Grant Eligible



Funding Program Requirements

Base Program Requirements

American Iron and Steel (AIS):

Requires recipients to use iron and steel products produced in the United States in construction

MWBEs

Goals applied to contracts and subcontracts to promote participation opportunities for certified MWBEs in governmental procurement contracts

Mandatory T&Cs

Davis-Bacon Prevailing Wage

Requires Contractors and Subcontractors to pay laborers and mechanics not less than the prevailing wage

Professional (A/E) Service Procurement

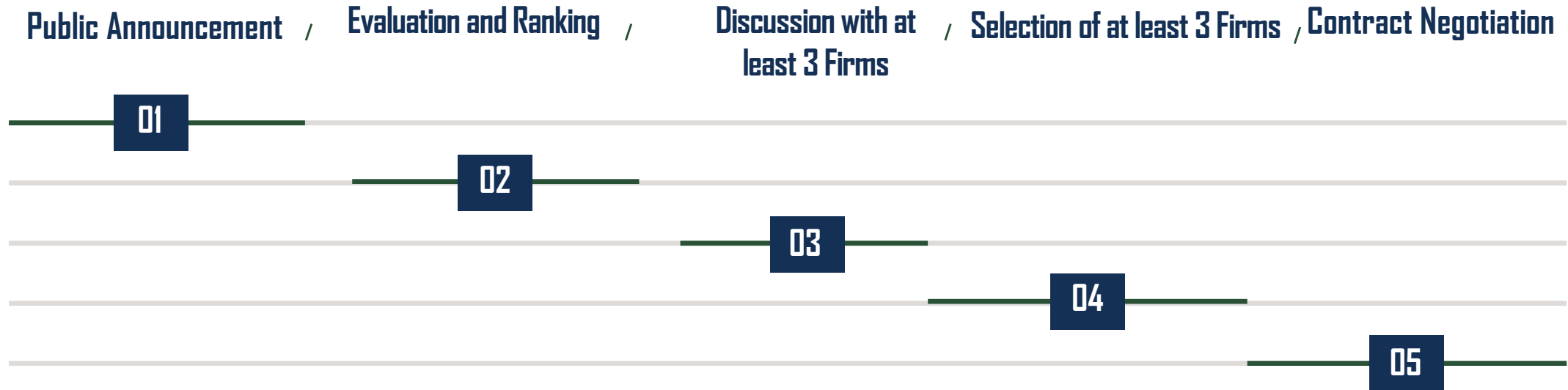
Required for all projects with financing applications submitted after June 16, 2023. Consult with your EFC project team if you have additional questions

Additional Federal Requirements

- ✓ **Build America, Buy America Act (BABA):** expands domestic preference procurement requirements
- ✓ **Telecom Exclusion**
- ✓ **Disadvantaged Business Enterprise**
- ✓ **Single Audit and federal grant thresholds (\$750,000)**
- ✓ **Additional coordination for Environmental Review**
- ✓ **Federal Equivalency Requirements are not necessary for any project funded through BIL with a population less than 10,000.**

Architectural/Engineering (A/E) Procurement

Required Steps



01 Public Announcement

Public announcement of the solicitation

02 Evaluation and Ranking

Evaluation and ranking of the submitted qualifications statements based on established, publicly available criteria

03 Discussion with at least 3 Firms

Discussion with at least three firms to consider anticipated concepts and compare alternative methods for furnishing services

04 Selection of at least 3 Firms

Selection of at least three firms considered to be the most highly qualified to provide the services required

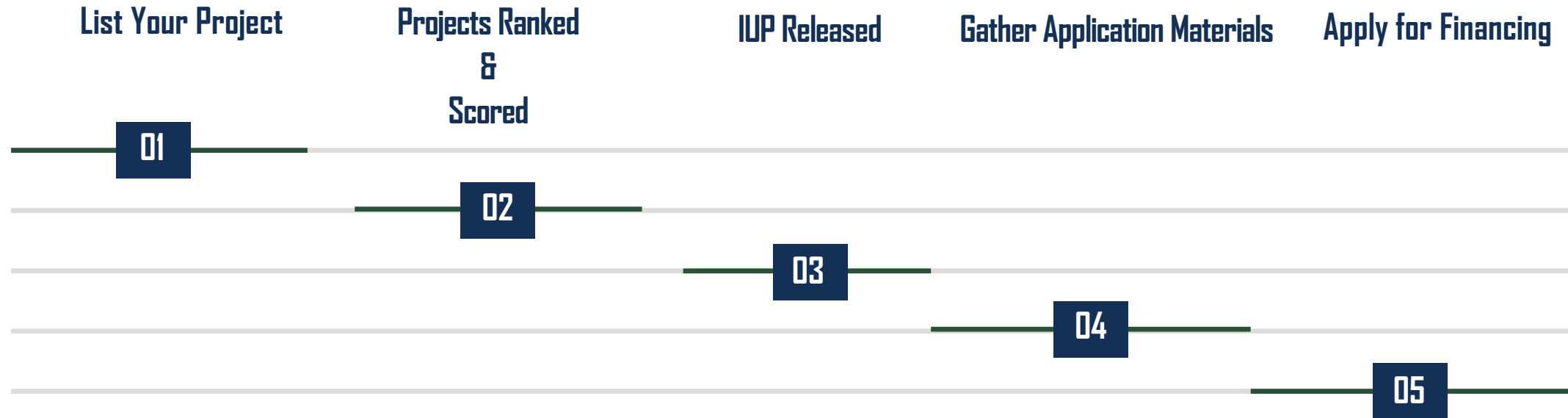
05 Contract Negotiation

Contract negotiation with the most highly qualified firm to determine compensation that is fair and reasonable based on a clear understanding of the project scope, complexity, professional nature, and the estimated value of the services to be rendered



Applying for Funding

How to Apply Financing Process



01 List Your Project

Go online, list your project with the [Project Listing and Update System for the CWSRF](#) or for the DWSRF, submit your Project Listing Form to Design@health.ny.gov

An approvable engineering report and completed smart growth form must be submitted for the project to be included on the Annual List

02 Projects Ranked and Scored

Project listings must include an Engineering Report to be included on the Annual List, which includes projects that can apply for funding in a fiscal year.

03 IUP Released

Required by law, the IUP accounts for how the State Revolving Fund will be administered in a fiscal year.

04 Gather Application Materials

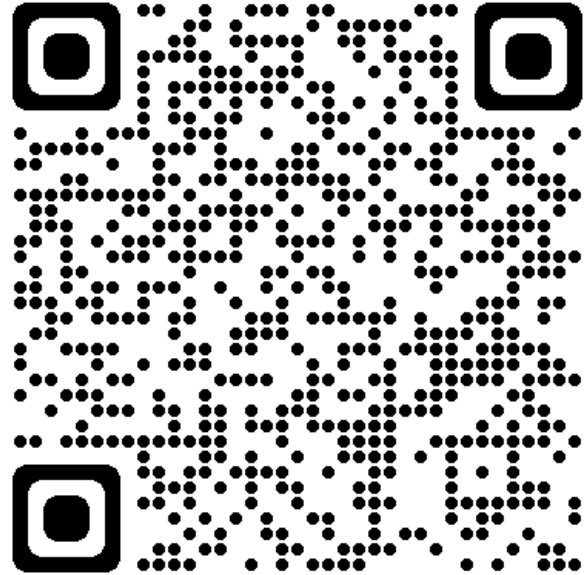
You must prepare various documents to submit with your application.

05 Apply for Financing

Applications are accepted through June in any given Federal Fiscal Year. Projects above the subsidy line that submit applications before the deadline will be eligible for subsidized funding through the next IUP. Applications received after the deadline will need to compete for subsidy in the next IUP.

Suggestions for Applicants

- ✓ Contact DOH and/or EFC staff early to discuss your project
- ✓ Submit listing forms and applications as early as possible
- ✓ Submit engineering report early
- ✓ Procure engineering services according to federal requirements
- ✓ Identify and apply for all required permits as soon as possible
- ✓ Contact NYS DEC Regional Permit Administrator to discuss environmental reviews
- ✓ Initiate district formation (where applicable)
- ✓ Involve your local counsel and bond counsel early
- ✓ Involve municipal representatives



Thank you

- ✓ **Rebecca Walker**
- ✓ **Monika King, P.E.**

<https://www.health.ny.gov/environmental/water/drinking/>

NYSWaterGrants@efc.ny.gov

Thank you, Monika & Rebecca!

Monika King

Bureau of Water Supply Protection - NYS Dept. of Health

Rebecca Walker

Senior Finance Manager - NYS Environmental Facilities Corp.

Please add any questions in the Q&A box

Up Next:

Brenda Smith

Community Programs Director, Rural Development
Program - USDA



SRBC Webinar: NY Funding Opportunities and TA for Public Water Supply Projects USDA Rural Development – New York September 21, 2023

Where is USDA Rural Development – in New York?

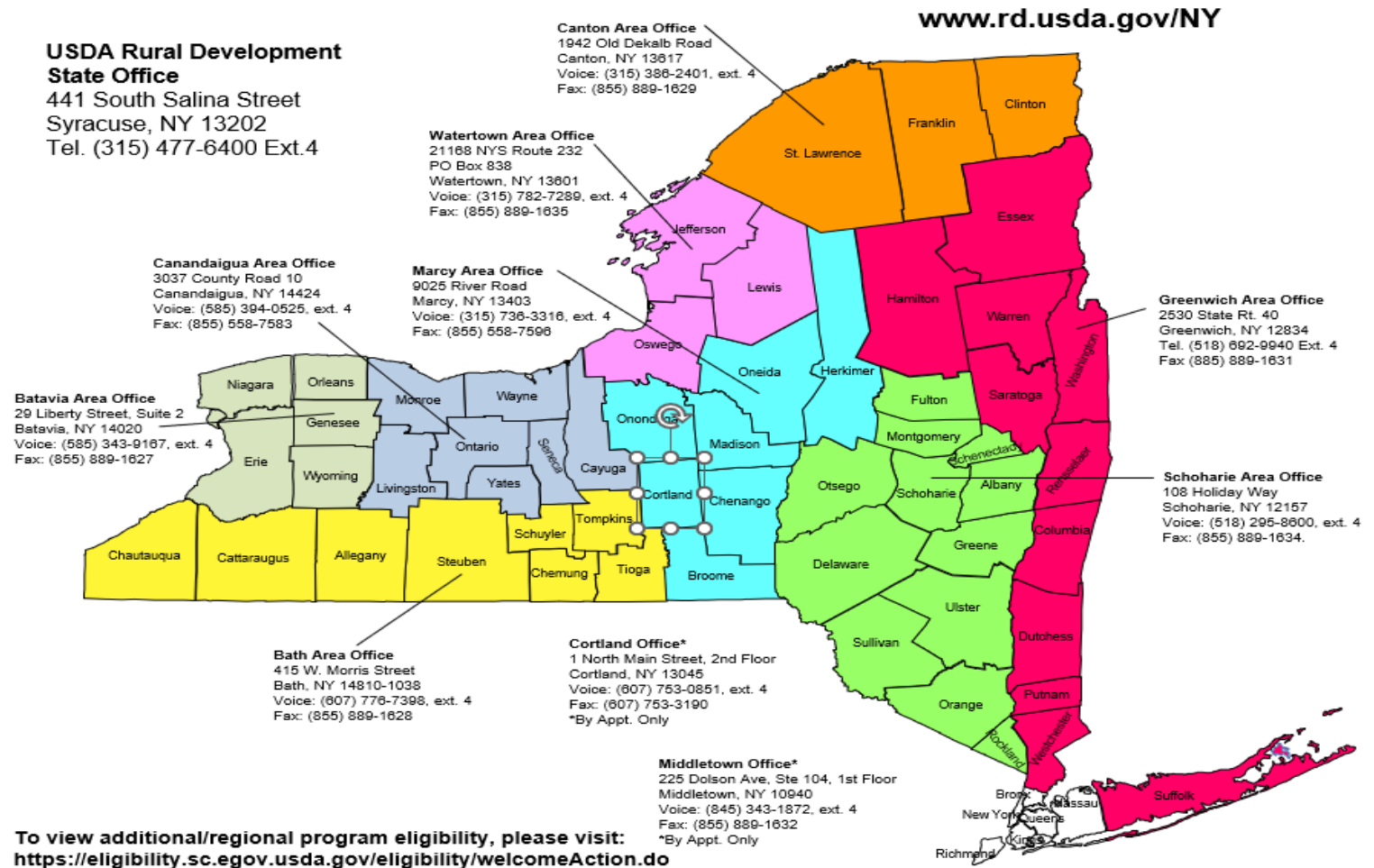
- **State Office**

- Syracuse

- **Area Offices**

- Bath
- Batavia
- Canton
- Canandaigua
- Cortland – By Appt Only
- Greenwich
- Marcy
- Middletown – By Appt Only
- Schoharie
- Watertown

- **Co-Located with FSA / NRCS**



Water and
Environmental
Programs



Clean Water & Sanitation Help for Rural Communities

- Water and Environmental Programs (WEP)
- Projects include the Construction, Repair, Modification, Expansion or Improvement of:
 - Drinking water sourcing, treatment, storage tanks and distribution/pumping stations
 - Sewer collection, transmission, treatment and disposal
 - Solid waste collection, disposal and closure
 - Storm water collection, transmission and disposal.

Water & Waste Disposal Loans & Grants

- Funding may be used for:
 - Construction costs
 - Purchasing existing facilities to improve or prevent loss of service
 - Legal fees
 - Engineering fees
 - Land acquisition
 - Water and land rights
 - Permits
 - Equipment

Water & Waste Disposal Loans & Grants

Eligible Applicants:

- An applicant must be:
 - ❖ A public body
 - ❖ A Not-for-profit organization
 - ❖ Native American tribe or Federal or State reservation or other Federally recognized tribes.
- Rural areas with populations of 10,000 or less. Projects must serve a rural area.

WEP Direct Loan Terms

Terms:

- Loan repayment shall not exceed the useful life of the facility or 40 years. Subject to NYS Local Finance Laws.
- In New York, we use 38 years as the terms of the long-term loan as the community will be out on a **Bond Anticipation Note (BAN)** during construction which is typically a 2-year period prior to loan closing.

WEP Interest Rates – changing 10/1/23

- **Poverty Rate** Current - 2.125% Estimated Rate – 2.375%
 - To qualify – 2021 MHI must be less than \$58,620 and primary purpose of the loan is to alleviate a documented health or sanitary problem of the facility being financed.
- **Intermediate Rate** Current - 2.875% Estimated Rate - 3.125%
 - To qualify – 2021 MHI of the service area is less than \$73,275 and the project does not alleviate a documented health or sanitary problem.
- **Market Rate** Current - 3.625% Estimated Rate - 3.875%
 - To qualify – 2021 MHI is \$73,275 or above. No grant funding is available for market rate projects.
- **RD will be switching to 2021 5-year average and 2020 Population starting 10/1/23**
- **Complete applications on hand prior to 9/30/23 may use the 2010 5-year average MHI if project is obligated prior to June 30, 2023.**

Loan Security

- Loans must be adequately secured.
 - General Obligation – New York requires General Obligation (GO) Bonds for Public Bodies.
 - Revenue Bonds for Authorities.
 - Assignment of Income.

Water & Waste Disposal Grants

- May be provided to reduce user costs to a reasonable level.
- National Office policy has implemented a minimum cost per EDU of 1.5% of the MHI before any grant funding will be provided to a project from our National Office reserve funds.
- Communities should expect to pay between 1.5% - 2.5% of their MHI – the higher the MHI the higher % of user cost. ($\$40,000 \text{ MHI} \times 1.6\% = \640 annually; $\$55,000 \text{ MHI} \times 2\% = \1100 annually) (DS, O&M, SLA). If user cost exceeds 2.5% additional documentation will be required as National Office has determined projects are not sustainable with EDU cost above 2.5%.

WEP Guaranteed Loans

- Applicant eligibility the same as direct **except** the population for this program was just increased under the Farm Bill to 50,000.
- Term is negotiated between the lender and the applicant – but cannot exceed 40 years.
- Loan made by a commercial lender. Guarantee by the U.S. Government (up to 80% for potential loss).
- Lender pays 1.5% Guaranteed Fee of guaranteed amount.

Emergency Community Water Assistance Grants (ECWAG)

- Helps eligible communities (population of 10,000 or less) prepare, or recover from, an emergency that threatens the availability of safe, reliable drinking water.
- Eligible applicants – same as for direct loan/grant program.
- Grants made for repairs or partial replacement cannot exceed \$150,000
- Grants made for new water source cannot exceed \$1,000,000
- Streamlined application processing for projects that do not need additional Rural Development funding to complete the project.

Planning Grants

- Assists low-income communities with initial planning and development of applications.
- Predevelopment feasibility studies, design and engineering assistance, and technical assistance for funding applications.
- USDA Rural Development has two programs provide pre-planning grants to assist communities with preparing their PER and ER for their RD Application
 - Water & Waste Disposal Predevelopment Planning Grant (PPG)
 - Special Evaluation Assistance for Rural Communities (SEARCH)

PPG & SEARCH Program Details

- **PPG Program**

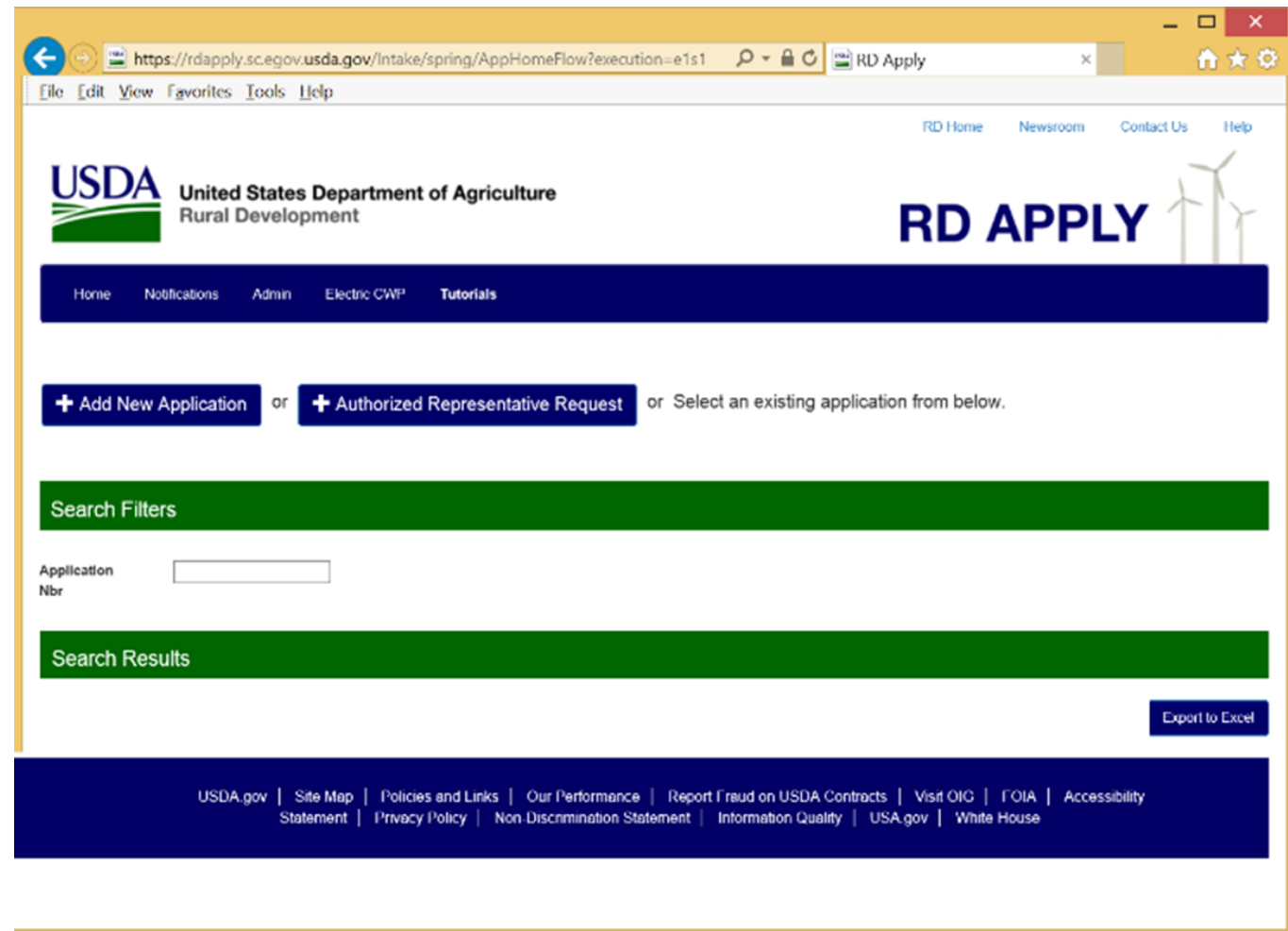
- Eligible areas include rural towns/cities/villages (10,000 or less)
- Eligible Entities: Public Bodies, Not for profit organizations & Federally Recognized Tribes that have a 2010 MHI less than (\$45,506); effective 10/1/23 the 2021 MHI less than (\$58,620)
- Maximum grant amount is \$30,000 or 75% of predevelopment planning costs


- **SEARCH Program**

- Eligible areas include rural towns/cities/villages (2,500 or less)
- Eligible Entities: Public Bodies, Not for profit organizations & Federally Recognized Tribes that have a 2010 MHI less than (\$45,506); effective 10/1/23 the 2021 MHI less than (\$58,620)
- Maximum grant amount is \$30,000 or 100% of predevelopment planning costs (up to \$30,000)

RD Apply

- Safe and secure
- Convenient and available 24/7
- Faster processing with less paper
- Water & Waste Disposal program applicants apply online with RD Apply.
- RD Apply offers many advantages:
 - Identity Security
 - Reduced Paper Consumption



A smiling firefighter in full gear, including a white t-shirt, yellow pants, and a helmet, stands in front of a fire truck. The firefighter is wearing glasses and has a watch on his left wrist. The background is a blurred fire truck with red and white stripes.

Community Facilities Program

- Hospitals, health clinics, medical facilities
- Educational – schools/colleges
- Municipal Office Buildings/
Highway maintenance facilities
- Fire houses, first responder vehicles and equipment
- Municipal vehicles – snowplows, street sweepers, police cars
- Transportation – roads, bridges, airports, sidewalks

Build America, Buy America Act (BABAA)

- Part of the Infrastructure Investment & Jobs Act (IIJA)
- All “federally assisted” infrastructure must comply with BABA
- BABA required for any projects financed by USDA after **2/3/23**
- RD waivers for de minimis and small projects (<\$250,000 total Federal financing).
- Customer Guide available for applicants and their consultants

WEP Overview of Success – FY2022

	<u>Loans/ Grants</u>	<u>Funding</u>
WEP Direct Loans	27	\$83.1M (243% alloc)
WEP Direct Grants	17	\$27.8M (238% alloc)
Preliminary Planning (PPG)-SEARCH Grants (Technical Assistance)	4	\$109,550
ECWAG-Emergency	2	\$886,284
REAP Grant – special set aside funds (Tioga/Sullivan County & Town of Wawarsing only)	1	\$4,436,500

Brenda Smith
Community Programs Director
1 N. Main Street
Cortland, NY 13045
Phone: 315.870.2497
Email: Brenda.smith2@usda.gov



Thank you, Brenda!

Brenda Smith

Community Programs Director, Rural Development Program - USDA

Please add any questions in the Q&A box

Up Next:

Dallas Shattuck

Senior Program Manager, Water Infrastructure Finance and
Innovation Act Program - EPA





**WATER INFRASTRUCTURE
FINANCE AND
INNOVATION ACT
PROGRAM**



MISSION STATEMENT

The Water Infrastructure Finance and Innovation Act (WIFIA) program accelerates investment in our nation's water and wastewater infrastructure by providing long-term, low-cost, supplemental credit assistance under customized terms to creditworthy water and wastewater projects of national and regional significance.



PROGRAM FEATURES

\$20
MIL

Minimum project size for large communities

5
YEARS

Maximum time that repayment may be deferred after substantial completion of the project

\$5
MIL

Minimum project size for small communities (population of 25,000 or less)



Interest rate will be equal to or greater than the U.S. Treasury rate of a similar maturity

49%

Maximum portion of eligible project costs that WIFIA can fund



Broad eligibility allows for wide variety of borrowers and projects, plus special program for SRF borrowers (SWIFIA)

35
YEARS

Maximum final maturity date from substantial completion



NEPA, Davis-Bacon, American Iron and Steel, Build America, Buy America, and all federal cross-cutter provisions apply



ELIGIBILITY

- WIFIA offers broad eligibility and can provide financing to a variety of credit-worthy borrowers, including local and tribal governments, state infrastructure financing authorities, and public-private partnerships, among others
- WIFIA can fund water infrastructure projects that support clean and safe water access and help communities address a variety of challenges, including regulatory compliance, water quality issues, drought or flooding, and aging infrastructure needs
- Eligible activities include project planning, design, development, and construction, land or equipment acquisition, a variety of carrying costs during construction, and financing-related costs



LOAN FEATURES AND BENEFITS

FAVORABLE RATES	<ul style="list-style-type: none">• Fixed interest rate locked in at closing• Interest does not accrue until loan is drawn• Borrower credit does not impact interest rate
GENEROUS TERMS	<ul style="list-style-type: none">• No penalty for prepayment• Sculpted repayment schedule to grow payments over time• WIFIA can take a subordinate lien position
FLEXIBLE FINANCING	<ul style="list-style-type: none">• Loan closing in as little as 4 months, or faster for repeat borrowers• Bespoke loan agreements that can fit into each borrower's existing indenture structure or be stand-alone issuances• Pairs well with other forms of funding and financing
BUNDLING FEATURES	<ul style="list-style-type: none">• Financing available for a combination of projects under one loan• Upfront commitment available for multiple projects taking place over time via a Master Agreement
LOAN MANAGEMENT	<ul style="list-style-type: none">• Ability to request monthly disbursements• Disbursements processed within 15 days of request• Dedicated loan management team responsive to borrower needs



LOAN PROCESS

WIFIA review process is divided into 3 stages and the program strives for efficiency and responsiveness in each

- ✓ **Letters of interest reviewed based on transparent, published criteria**
- ✓ **Opportunities for pre-application support and technical assistance**
- ✓ **Dedicated loan management team responsive to borrower needs**
- ✓ **Efficient post-close processes, including 15-day turnaround for disbursements**



FINANCING AVAILABLE

Each year, Congress appropriates funds to WIFIA

- This allows the WIFIA program to have money available on an ongoing basis
- WIFIA can finance up to 49% of the anticipated project costs **and is offering up to 80% of anticipated project costs for small communities**



PROJECT SELECTION

WIFIA and SWIFIA Letters of Interest (LOI) may be submitted at any time!

- Rolling selection provides:
 - ✓ Year-round access to WIFIA funding
 - ✓ Accelerated selection decisions allows for real-time financial decision-making by prospective borrowers
 - ✓ WIFIA LOI submission can be timed with cycles of other funding sources
 - ✓ WIFIA can provide technical assistance to prospective borrowers that need feedback on LOI package

Submission of an LOI is easy via EPA's SharePoint site!

- To request access, simply contact WIFIA@EPA.GOV



MEETING WITH WIFIA PROGRAM STAFF

EPA is happy to meet with all prospective borrowers interested in discussing the program prior to submission of a letter of interest

- Send requests to wifia@epa.gov
- Meetings may be virtual or in-person



RESOURCES

General Information

<https://www.epa.gov/wifia/about-wifia>

- What is WIFIA?
- What is SWIFIA?
- WIFIA Benefits
- WIFIA Loan Process
- Laws and Regulations

Application Materials

<https://www.epa.gov/wifia/wifia-application-materials>

- Letter of Interest form
- Letter of Interest checklist
- Sample Letter of Interest
- Sample financial pro forma

Program Resources

<https://www.epa.gov/wifia/wifia-program-resources>

- Template Term Sheet
- Federal Compliance Requirements
- Program Handbook
- FAQs

Learn More

<https://www.epa.gov/wifia/learn-more-about-wifia>

- Annual Report
- Videos
- Presentations and Factsheets



CONTACT US

Website: www.epa.gov/wifia

Sign-up to receive announcements
about the WIFIA program at
<https://tinyurl.com/wifianews>

Have questions? Want to meet with WIFIA
staff?

Contact us at wifia@epa.gov



Thank you, Dallas!

Dallas Shattuck

Senior Program Manager, Water Infrastructure Finance and
Innovation Act Program - EPA

Please add any questions in the Q&A box

Up Next:

Tess Clark

Assistant Director of Water Resilience, Syracuse University
Center for Sustainable Community Solutions /
Environmental Finance Center



SYRACUSE UNIVERSITY
CENTER FOR SUSTAINABLE
COMMUNITY SOLUTIONS



**Environmental
Finance
Center**
Syracuse University

Technical Assistance Opportunities from Syracuse Environmental Finance Center

September, 21 2023
Tess Clark, Assistant Director

Our WaterTA Goal

To ensure equitable access to water infrastructure funding

Regional approach, national collaborations:

- SU-EFC is the regional lead for EPA region 2's BIL-funded Water Infrastructure Environmental Finance Center.
- Regional EFC teams can serve as connectors and conveners for other EPA-funded technical assistance providers, industry groups, and other agencies
- Collaboration is key to delivering assistance effectively
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REGION 2



Syracuse University Environmental Finance Center

- Syracuse University's **WaterTA** team is partnering with communities throughout the region to help identify their water infrastructure needs and apply for federal and state funding to implement projects.
- Anticipated start date is **October 1st**
- Project team is co-led by the **Cornell Water Resources Institute**

Team Members include:



*Quantified Ventures
RCAP Solutions*



*Syracuse University Environmental Finance Center
New York State Water Resources Institute at Cornell University*



*Moonshot Missions
New Jersey Future*

WaterTA Services

Partnerships & Engagement

- Community outreach
- Decision-maker & board education
- Water system partnerships
- Capacity building & training

Planning & Assessment

- Climate resilience planning
- Workforce planning
- Asset management
- Other studies/assessments

Project Development

- Identify/apply for pre-development funds for preliminary engineering
- Lead service line inventories
- Project design
- Environmental reviews

Funding & Financing

- Rates & revenue analyses
- Financial planning
- Identify funding options
- Application support

Project & Construction Management

- Bid support
- Change order review
- Project inspection
- Domestic preference & Davis Bacon assistance

Pathways to Assistance

State Identification or Referral

- Obtain recommendations from state SRF programs
- Obtain referrals from project partners and other EFCs
- Prioritize disadvantaged communities who have not recently received SRF funding

EPA WaterTA Form

- EPA National: WaterTA request form - <https://www.epa.gov/water-infrastructure/forms/water-technical-assistance-request-form>
- EPA or a technical assistance provider will respond with potential next steps.

EFC Water TA Form

- EPA Region 2: SU-EFC assistance request form - <https://efc.syr.edu/assistance/>

Contact Information

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Thank you, Tess!

Tess Clark

Assistant Director of Water Resilience, Syracuse University Center for Sustainable
Community Solutions / Environmental Finance Center

Please add any questions in the Q&A box

Up Next:

Question and Answer Period

Hosted by Todd Eaby, Manager, Project Review Program - SRBC



Question & Answer Period



SRBC PWSAP

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