



**Homes and
Community Renewal**

CDBG

Funding and Resource Management Considerations for Public Water Suppliers

May 8, 2019

Susquehanna River Basin Commission
Public Water Supply Workshop
Big Flats, NY

State Administration of CDBG

The Office of Community Renewal (OCR) exists under the Housing Trust Fund Corporation and administers the Community Development Block Grant (CDBG) Program and other State and Federal programs to empower local governments and not-for-profits to improve NY communities through housing, infrastructure and job creation.



OCR Programs

Federal

- NYS Community Development Block Grant (CDBG)
- HOME - Local Programs
- New York State Disaster Recovery
- Project Reinvest Financial Capability
- HUD Comprehensive Housing Counseling

OCR Programs

State

- Affordable Homeownership Development Program (AHODP)
- Main Street Program
- Buffalo Main Streets Initiative (BMSI)
- Access to Home Programs
- RESTORE program
- Neighborhood & Rural Preservation Programs (NPP/RPP)
- Manufactured Mobile Housing Replacement Program (MMHR)

How Does CDBG Work?



Eligible CDBG Activities

- Public Infrastructure
- Public Facilities
- Economic Development
- Small Business Assistance
- Microenterprise Development
- Housing Rehabilitation
- Manufactured housing replacement
- Homeownership
- Private Water/Wastewater Systems
- Community Planning
- Imminent Threat

In 2018 Under Governor Cuomo's Leadership the NYS CDBG Awarded Nearly \$39 Million to Improve Communities and Create Opportunities

\$15.6 million in housing awards to benefit 582 low-and moderate income households

\$19 million in public infrastructure and public facilities awards to benefit nearly 100,000 people

\$1.9 million in microenterprise awards to assist 76 businesses and create over 100 new jobs.

\$9.5 million in economic development through the Open Round CFA, awards to 31 businesses with up to 1250 jobs resulting from the investment



Public Infrastructure

Improve infrastructure to low and moderate income areas

Typical activities:

- Public Water Systems
 - water source development, storage, and distribution
- Public Sanitary Sewer Systems
 - sanitary sewage collection and treatment
- Storm Water Systems
 - flood control and storm water drainage

Example Public Infrastructure

Village of Stamford, Delaware County

- \$4,934,000 Total Project Cost

- \$ 500,000 NYS CDBG
- \$ 150,000 Local
- \$4,284,000 USDA RD



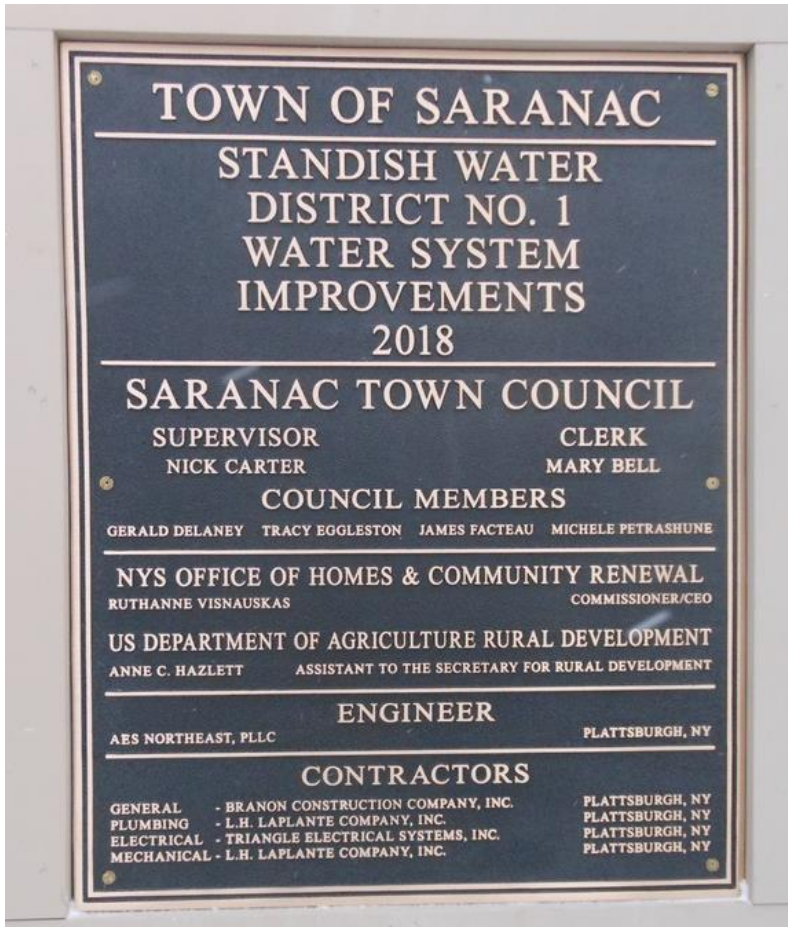
Example Public Infrastructure

Village of Stamford, Delaware County



Example Public Infrastructure

Town of Saranac, Essex County, Standish Water District



Example Public Infrastructure

Town of Saranac, Essex County, Standish Water District



Other Activities

- **Public Facilities**
 - Health Care Centers
 - Community/Senior Centers
 - Day Care Centers
 - ADA Compliance
- **Housing**
 - Housing Rehabilitation
 - Homeownership
 - Manufactured Housing Replacement
 - Wells and Septic and Lateral Connections
- **Community Planning**
 - Preliminary Engineering
 - Needs Assessment

Other Activities, continued

- Microenterprise Assistance
 - 5 or fewer employees
- Small Business Assistance
 - 25 or fewer employees
- Traditional Economic Development

For more information on these activities, please go to <https://hcr.ny.gov/community-development-block-grant-economic-development-program>.

Imminent Threat

Any eligible CDBG activity can be considered under the imminent threat category.

These are typically disaster response type projects, for example, following Hurricanes Irene and Lee upstate in 2012, and more recently, the Yates County flooding in the summer of 2015 and the Lake Ontario flooding that occurred during 2017.

Example of Imminent Threat

Village of Wellsville, Cattaraugus County



Applying for CDBG Funding



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Eligible Applicants

Eligible Applicants:

- Units of Local Government with populations of less than 50,000 and
- Counties with populations of less than 200,000

Refer to the list of eligible communities located at:

<https://hcr.ny.gov/community-development-block-grant> and click on Program Guidelines



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How to Apply for Funds

NYS Consolidated Funding Application (CFA)

- Public Infrastructure/Facilities (Annual)
- Microenterprise (Annual)
- Community Planning (Annual)
- Economic Development (Open Round)
- Small Business Assistance (Open Round)
- <http://regionalcouncils.ny.gov>

How to Apply for Funds

Housing activities - Community Development Online (CDOL)

- Housing rehabilitation
 - Single Unit/Multi Unit
- Homeownership
- Manufactured housing replacement
- Wells & septic replacement, lateral connections
 - Can include eligible engineering costs

For more information, please go to:

<https://hcr.ny.gov/community-development-block-grant>



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Funding Limits - 2019

Public Infrastructure

- Counties, Towns, Cities or Villages \$750,000
- Shared Municipal Infrastructure \$900,000
- Shared Municipal Infrastructure with
NYS Co-Funding Initiative \$1,250,000
- With NYS Co-Funding Initiative \$1,000,000

Public Facilities

- Counties, Towns, Cities or Villages \$300,000

Economic Development

- Economic Development \$750,000
- Small Business Assistance \$100,000
- Microenterprise \$200,000



Funding Limits

Housing (all activities)

➤ Towns, Cities or Villages	\$ 500,000
➤ Counties	\$1,000,000

Community Planning

➤ Counties, Towns, Cities or Villages	\$50,000
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Administrative Funding Limits

- Public Infrastructure, Facilities and Housing for Administration, Program Delivery, Engineering and Architecture: 18% maximum of the CDBG requested funds
- Microenterprise: Up to 25% of CDBG award in program delivery, administration, and training
- Economic Development/Small Business: maximum \$16,000 of CDBG funds for Administration and Program Delivery.

Scoring Criteria

Applications competitively rated and scored on:

- Project feasibility, all financing in place
- Ability to implement immediately
- Ability to complete in 24-months
- Administrative capacity
- CDBG and administrative performance history, if any
- Severity of need, and impact on identified need
- A complete description of activity
- Public benefit and support for the proposed activity
- Reasonableness of project costs, including program delivery & administration

Getting Ready to Apply

1. Collect data and evaluate local conditions/needs
2. Determine local priorities, choose projects, then determine funding to seek
3. Read the regulations & requirements
4. Evaluate local capacity to implement the project
5. Identify local partners
6. Identify available resources for project and complementary projects underway
7. Make sure current/previous funding is on schedule or closed satisfactorily

Application Tips and Other Requirements

Only eligible units of general local government may apply for CDBG assistance

Tips:

- Use the correct application
- Use the submission checklist to ensure completeness
- Document citizen participation (public hearing)
- Key deficiencies: failing to show administrative capacity, adequate funding, budget inconsistencies, readiness
- Don't take choice limiting actions prior to award and clearance

Additional Information



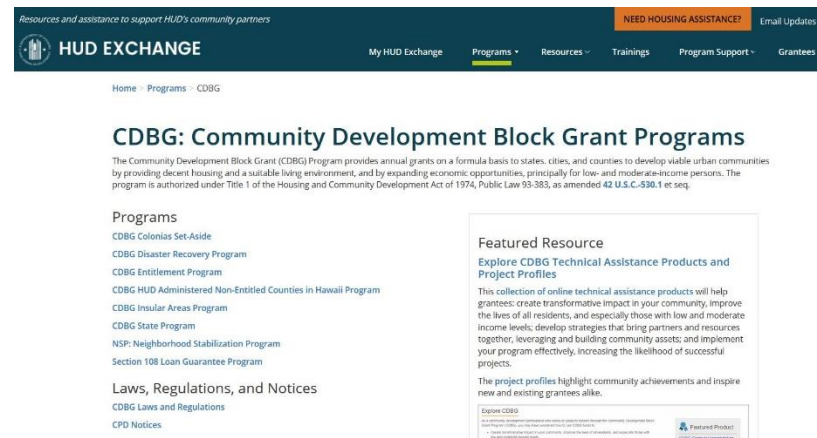
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HUD Exchange

www.hudexchange.info/programs/cdbg/

Source for:

- CDBG Rule: 24 CFR Part 570
- CPD Notices
- Basically CDBG manual/slides
- Guide to National Objectives and Eligible Activities for the CDBG Program
- CPD income calculator
- Toolkits



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ANY
QUESTIONS
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Questions

If you have any questions, please contact NYS OCR at
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